



Let's talk Forex

Si Capital & Financial Services Limited

Where Forex standards are set, not just met

CIN : L67190TN1994PLC029151

26-10-2022

To
BSE Limited
1st Floor, New Trading Wing
Dalal Street, Fort
Mumbai - 400001

Dear Sir/ Madam,

Sub: Intimation of disclosure under Regulation 7(2) read with Regulation 6(2) of the SEBI (Prohibition of Insider Trading) Regulations, 2015

Please find enclosed herewith the following disclosures received from M/s Sharewealth Securities Limited:

1. Form C under Regulation 7(2) read with Regulation 6(2) of the SEBI (Prohibition of Insider Trading) Regulations, 2015

Kindly take the same on record.

Thank You.

For S.I. Capital & Financial Services Limited

Akhil T M
Company Secretary & Compliance Officer

Encl: As above

Regd. Office "Montieth Court", 64, Montieth Road, Egmore, Chennai - 600 008.
Tel : 044-28415438, 28415439, 42145840
E-mail : info@sicapital.co.in
Website : www.sicapital.co.in

Sharewealth Securities Limited

Member NSE. Member Code : 12499. SEBI Regn No: INZ000176636

Member BSE. Member Code : 3204 SEBI Regn No: INZ000176636

Depository Participant CDSL: SEBI Regn No: IN-DP-CDSL-379-2006, CIN : U67120KL2005PLC018045

26-10-2022

To

BSE Limited
1st Floor, New Trading Wing
Dalal Street, Fort
Mumbai – 400001

The company Secretary
S.I.Capital & Financial Services Limited
64, Montieth Road, Egmore, Madras - 600008
Tamil Nādu, India

Dear Sir/ Madam,

Sub: Information under Regulation 7(2) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 and subsequent amendments thereto.

Pursuant to Regulation 7(2) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 and subsequent amendments thereto, please find enclosed herewith the information in the prescribed format as attached herewith.

This is for your information and record.

Thanking you,
Yours Faithfully,

For Sharewealth Securities Limited

T B Ramakrishnan
Managing Director
DIN: 01601072

Encl.: As Above.

FORM C

SEBI (Prohibition of Insider Trading) Regulations, 2015
[Regulation 7 (2)(a) read with Regulation 6(2) – Continual disclosure]

Name of the company: S.I.Capital & Financial Services Limited 530907

ISIN of the company: INE417F01017

Details of change in holding of Securities of Promoter, Employee or Director of a listed company and other such persons as mentioned in Regulation 6(2)

Name, PAN, CIN/DIN, & address with contact nos.	Category of Person (Promoter/ member of the promoter group/designated person/Director s/immediate relative to/others etc.)	Securities held prior to acquisition/ disposal		Securities acquired/Disposed				Securities held post acquisition/ disposal		Date of allotment advice/ acquisition of shares/ disposal of shares, specify		Date of intimation to company	Mode of acquisition /disposal (on market/public/rights/preferential offer/off market/ Inter-se transfer, ESOPs, etc.)	Exchange on which the trade was executed
		Type of securities (For eg. – Shares, Warrants, Convertible Debentures, Rights entitlements etc.)	No. and % of share holding	Type of securities (For eg. – Shares, Warrants, Convertible Debentures, Rights entitlement, etc.)	No	Value	Transaction Type (Purchase/sale Pledge / Revocation / Invocation/ Others please specify)	Type of securities (For eg. – Shares, Warrants, Convertible Debentures, Rights entitlement, etc.)	No. and % of shareholding	From	To			
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Sharewealth Securities Limited PAN: AAKCS3101P CIN: U67120KL2005PLC018045 Address with Contact No: DOOR NO. 20/232, ADIYAT LANE,	Promoter Group	Equity shares Warrants	1611480 (50.36%) 200000 (NA)	Equity shares	200000	2000000	Acquisition (Converting the warrants into equity shares)	Equity Shares	1811480 (53.28%)	25/10/2022	25/10/2022	26/10/2022	Preferential Offer (By converting warrant into equity shares)	BSE

POOTHOLE. P. O, WEST FORT THRISSUR Thrissur Kerala 680004 India Ph No: 0487 - 2436500 (30 Lines)														
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Details of trading in derivatives on the securities of the company by Promoter, member of the promoter group, designated person or Director of a listed company and immediate relatives of such persons and other such persons as mentioned in Regulation 6(2).

Trading in derivatives (Specify type of contract, Futures or Options etc.)						Exchange on which the trade was executed
Type of contract	Contract specifications	Buy		Sell		
		Notional Value	Number of units (contracts * lot size)	Notional Value	Number of units (contracts * lot size)	
16	17	18	19	20	21	22
NA	NA	NA	NA	NA	NA	NA

Note: In case of Options, notional value shall be calculated based on Premium plus strike price of options.

Name & Signature: **THANDASSERY BALACHANDRAN RAMAKRISHNAN**

Designation: **Managing Director**

Date: 26/10/2022

Place: Thrissur